EXECUTIVE PROGRAMME IN CUSTODY OPERATIONS & SECURITIES SERVICES

Introduction

The Executive Programme in Custody Operations & Securities Services is a comprehensive executive development programme designed to equip professionals with advanced knowledge and practical skills in the custody and post-trade services ecosystem. As global financial markets become increasingly interconnected and regulated, financial institutions require professionals who understand the complexities of securities settlement, asset servicing, safekeeping, corporate actions, collateral management, and operational risk. This programme provides participants with an in-depth understanding of custody banking operations, securities lifecycle management, international settlement systems, depository services, SWIFT messaging, regulatory compliance, and emerging technologies transforming custody services. Through practical case studies, industry best practices, and real-world simulations, participants will develop the competencies required to enhance operational efficiency, manage risk, ensure regulatory compliance, and deliver high-quality securities services to institutional investors. The programme is ideal for professionals working in commercial banks, central banks, investment banks, stock exchanges, central securities depositories, pension funds, insurance companies, asset management firms, brokerage firms, and other financial market institutions seeking to strengthen their expertise in custody operations and securities services.

Course Objectives

- Understand global custody operations and securities services.
- Manage settlement, safekeeping and asset servicing efficiently.
- Strengthen operational risk management and regulatory compliance.
- Improve reconciliation, reporting and client servicing.
- Leverage technology and automation in custody operations.

P.O Box 7254- 01000
www.trustgatecorporateskills.co.ke
Location Thika & Nairobi

- Prepare institutions for emerging trends in securities services

Course Modules

> Module 1: Introduction to Custody & Securities Services
- Custody services in capital markets
- Types of custodians
- Asset protection principles
- Industry best practices

> Module 2: Account Setup, Safekeeping & Settlement
- Client onboarding
- Asset segregation
- Settlement coordination
- Cross-border custody

> Module 3: Corporate Actions & Income Processing
- Mandatory and voluntary corporate actions
- Dividend and coupon processing
- Proxy voting
- Tax administration

> Module 4: Reconciliation, Reporting & Client Service
- Cash and securities reconciliation
- Exception management
- Client reporting
- Relationship management

> Module 5: Risk Management & Regulatory Compliance
- Operational risk
- Internal controls
- Business continuity
- Compliance monitoring

> Module 6: Technology & Automation
- Custody platforms
- Straight-through processing

- Cybersecurity
- Data analytics

> Module 7: Emerging Trends

- Digital assets
- Blockchain
- ESG reporting
- Future securities services